

FACTS WHAT DOES CLARK FINANCIAL SERVICES GROUP, INC. DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security Number and Income
- Assets and Investment Experience
- Risk Tolerance and Transaction History

How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Clark Financial chooses to share; and whether you can limit this sharing.

| Reasons we can share your personal information | Does Clark Financial share? | Can you limit this sharing? |
|---|-----------------------------|-----------------------------|
| For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus | Yes | No |
| For our affiliates' every day business purposes – information about your transactions and experiences | Yes | No |
| For our marketing purposes – to offer our products and services to you | No | No |
| For joint marketing with other financial companies | No | No |
| For our affiliates' everyday business purposes – information about your creditworthiness | No | No |
| For nonaffiliates to market to you | No | We don't share |
| For our Advisor that transfers to another firm | Yes | Yes* |

To limit our sharing

- Call 314-469-5000 – Ask for our Customer Service Department OR
- Visit us online: www.clarkfin.com

Please note:

If you are a *new* customer, we can begin sharing information 30 days from the date we sent this notice. When you are *no longer* our customer, we continue to share your information as described in this notice.

*In the event your advisor servicing your account leaves us to join another financial institution, the advisor is permitted, subject to Clark Financial's approval, to retain copies of your information so that he or she can assist with the transfer of your account and continue to serve you at their new firm. The advisor's continuing use of your information will be subject to the new firm's privacy policy.

Questions?

Call 314-469-5000

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Who we are

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| Who is providing this notice? | Clark Financial Services Group, Inc. |
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What we do

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| How does Clark Financial Services Group, Inc. protect my personal information? | To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. |
| How does Clark Financial Services Group, Inc. collect my personal information? | We collect your personal information, for example, when you <ul style="list-style-type: none"> ▪ Open an account or Seek advice about your investments ▪ Make a wire transfer or Supply your income information ▪ Supply your Employment History |
| Why can't I limit all sharing? | Federal law gives you the right to limit only <ul style="list-style-type: none"> ▪ Sharing for affiliate's everyday business purposes – information about your creditworthiness ▪ Affiliates from using your information to market to you ▪ Sharing for non-affiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing.</p> |
| What happens when I limit sharing for an account I hold jointly with someone else? | Your choices apply to you, individually, unless you state otherwise. |

Definitions

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|-----------------|---|
| Affiliates | Companies related by common ownership or control. They can be financial and nonfinancial companies <ul style="list-style-type: none"> ▪ Clark Financial Services Group, Inc. |
| Nonaffiliates | Companies not related by common ownership or control. They can be financial and nonfinancial companies <ul style="list-style-type: none"> ▪ Clark Financial Services Group, Inc. does not share with non-affiliates so they can market to you. |
| Joint Marketing | A formal agreement between nonaffiliated financial companies that together market financial products or services to you. <ul style="list-style-type: none"> ▪ Clark Financial Services Group, Inc. does not jointly market. |